



Nirman Share Brokers Pvt Ltd.

Facility for voluntary freezing/ blocking the online access of the trading account

(Not applicable to clients who do not have online trading access)

SEBI vide its circular SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024 informed the Industry Standards Forum (ISF), under the aegis of stock exchanges, in consultation with SEBI, to frame the necessary guidelines. Pursuant to the same, the Exchanges have issued the circulars detailing the framework for trading members to provide the facility of voluntary freezing/blocking the online access of the trading account to their clients on account of suspicious activities.

Exchanges through these circulars have further informed that the trading members shall frame a policy in line with the abovementioned framework, which shall be the part of the trading member's Risk Management Policy.

The client shall request NSBL for voluntary freezing/blocking the online access of trading account if any suspicious activity is observed in the trading account vide the below mentioned modes:

Mode of communication

- a. **Via Tele calling on the number- 0755- 4311111.**
- b. **Via eMail- stoptrade@nirmanbroking.com**

Important Notes:

- This facility is available only for active clients.
- All open unexecuted/pending orders will be canceled by the RMS.
- New orders can be placed only by calling the centralized dealing desk.
- The online access freeze will not impact open positions of the client, if any. However, open positions, if any, will be communicated to the client within one hour of freezing/blocking the online access of the trading account.

The timelines for freezing/ blocking & unfreezing /unblocking of the online access of the clients' trading account is as under:

Request Time	Solution time
Request received during the trading hours.	within 15 minutes of request
Request received after the trading hours	15 minutes before the start of trading on next day

Upon receiving your request, we will issue an acknowledgment via email and process the freeze/block request as per the above timelines.

Policy Date- 10/06/2024